

## TOWN OF NORTH CASTLE

### LOCAL LAW NO. 8 OF 2023

Adopted December 13, 2023

A Local Law to amend Chapter 27, Code of Ethics, Sections 27-7, 27-19 and Attachment 1 - Appendix A Ethics Disclosure Statement, of the Code of the Town of North Castle.

Be It Enacted by the Town Board of the Town of North Castle as follows:

**Section 1.** Section 27-7 of the Code of the Town of North Castle entitled “Recusal and abstention” at subsection “A” is hereby amended as follows:

A. No municipal officer or employee may participate in any decision or take any official action with respect to any matter requiring the exercise of discretion, including discussing the matter and/or voting on it, when he or she knows or has reason to know that the action could confer a direct or indirect financial or material benefit on himself or herself, a relative, partner, or business associate or any private organization in which he or she is deemed to have an interest or had an interest within the previous six months.

**Section 2.** Section 27-19 of the Code of the Town of North Castle entitled “Board of Ethics” at subsection “E” is hereby amended as follows:

E. The Town Board shall also appoint two alternate members, each of whom shall serve staggered five year terms and be eligible to serve if a member or members of the Board of Ethics is or are unable to proceed on a particular matter. The alternates shall not be from the same political party. When not serving on the Board of Ethics, the alternate members may attend all meetings and proceedings of the Board of Ethics but shall not vote on any matter unless he or she is serving on the Board of Ethics as a member on the particular matter.

**Section 3.** Attachment 1 - Appendix A Ethics Disclosure Statement of the Code of the Town of North Castle entitled “Ethics Disclosure Statement” at paragraph 1 is hereby amended as follows:

1a. List the location of all real estate in the Town of North Castle (other than the primary residence of you or your spouse or domestic partner) in which you or your spouse or domestic partner directly or indirectly have an Interest. If none, state “none.”

1b. List the location of all real estate in the Town of North Castle (other than their primary residence) in which, to the best of your knowledge, your parent, sibling, child, household member, or other dependent, directly or indirectly have an Interest, and that has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

**Section 4.** Conflicting Standards.

Where the requirements of this Local Law impose a different restriction or requirement than imposed by other sections of the Code of the Town of North Castle, the Town Law of the State of New York or other applicable rules or regulations, the requirements of this Local Law shall prevail.

**Section 5.** Severability.

The invalidity of any word, section, clause, paragraph, sentence, part or provision of this local law shall not affect the validity of any other part of this local law that can be given effect without such invalid part or parts.

**Section 6.** Effective Date.

This Local Law shall take effect immediately upon its adoption and filing with the Secretary of State.

Dated: December 13, 2023

CODE OF ETHICS

27 Attachment 1

**APPENDIX A**  
**TOWN OF NORTH CASTLE**  
**ETHICS DISCLOSURE STATEMENT**  
for the  
**CALENDAR YEAR** \_\_\_\_\_  
**Due** \_\_\_\_\_

*Instructions: Please check the appropriate boxes, complete items 1, 2A & 2B, and sign where indicated below.*

<input type="checkbox"/>	I have received, read, understand and agree to abide by the requirements set forth in Chapter 27 (Ethics) of the Code of the Town of North Castle.
<input type="checkbox"/>	Except as identified below, to the best of my knowledge and belief, neither I nor any <a href="#">Relative</a> has any <a href="#">Interest</a> (as those terms are defined in Section 27-2 of the North Castle Ethics Code) that may conflict with my official duties or otherwise give rise to a violation of the North Castle Code of Ethics.
<input type="checkbox"/>	I agree to promptly file a <a href="#">Disclosure – Conflict of Interest Form</a> if any change of circumstances should make any statement herein untrue or incomplete.

1. A. List the location of all real estate in the Town of North Castle (other than your primary residence) in which you or your spouse or domestic partner directly or indirectly have an Interest. If none, state “none.”

\_\_\_\_\_  
(Attach separate sheet if necessary.)

1. B. List the location of all real estate in the Town of North Castle (other than their primary residence) in which, to the best of your knowledge, your parent, sibling, child, household member, or other dependent, directly or indirectly have an Interest, and that has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

\_\_\_\_\_  
(Attach separate sheet if necessary.)

2. A. NON PUBLICLY-TRADED ORGANIZATIONS: List any organization (other than a publicly-traded corporation) in which you or a Relative have a direct or indirect Interest, which to the best of your knowledge and belief has any application, request, interest in any proposal, or

claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

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(Attach separate sheet if necessary.)

2. B. PUBLICLY-TRADED CORPORATIONS: List any publicly-traded corporation in which you or a [Relative](#) have a direct or indirect [Interest](#) (including owning 5% or more of the outstanding stock or as a director, officer or employee), which to the best of your knowledge and belief has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

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(Attach separate sheet if necessary.)

The term “[Interest](#)” includes any financial or material benefit or thing of value, except for such things which are generally available to all residents or taxpayers, or even a large group of such individuals. Financial or material benefits may be received or provided directly, but they also include such benefits that are received or provided indirectly.

For organizations other than a publicly traded corporation, an example of a “direct” [Interest](#) is where a town official, employee or volunteer is a partner, member or has another type of ownership interest in that organization or is a director, officer, manager or employee in such an organization. For organizations other than a publicly traded corporation, an example of an “indirect” [Interest](#) is where that town official, employee or volunteer holds that same [Interest](#), but through one or more organizations or through one or more trusts — either as a grantor, beneficiary or trustee.

If you have any questions, you should contact the Board of Ethics or request a Confidential Advisory Opinion from the Board of Ethics.

I certify that the answers given above are true to the best of my knowledge and belief, were made in good faith, and I understand that any false or incomplete statement on this Disclosure Statement would constitute a violation of the North Castle Code of Ethics.

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**Date**

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**Signature**

PRINT NAME: \_\_\_\_\_

HOME ADDRESS: \_\_\_\_\_

TELEPHONE:

(Home) \_\_\_\_\_

(Business) \_\_\_\_\_

(Cell) \_\_\_\_\_

Email: \_\_\_\_\_

BOARD OR OFFICIAL POSITION \_\_\_\_\_

Please return this statement to:

Town Clerk  
Town of North Castle  
15 Bedford Road  
Armonk, NY 10504