

## **TOWN OF NORTH CASTLE**

### **LOCAL LAW NO. 10 OF 2022**

**Adopted October 26, 2022**

A Local Law to amend Chapter 27, Code of Ethics, Sections 27-2 and 27-6 E. of the Code of the Town of North Castle.

Be It Enacted by the Town Board of the Town of North Castle as follows:

#### **Section 1.**

Section 27-2 of the Code of the Town of North Castle entitled “Definitions” at “Interest” is hereby amended as follows:

#### **INTEREST**

A direct or indirect financial or material benefit, but does not include any benefit arising from the provision or receipt of any services generally available to the residents or taxpayers of the municipality or an area of the municipality, or a lawful class of such residents or taxpayers. A municipal officer or employee is deemed to have an interest in any organization including, but not limited to when he or she or his or her relative directly or indirectly (e.g., individually or through one or more organizations and/or as a grantor, beneficiary or trustee of one or more trusts) (i) is a partner, member, or other full or partial owner, (ii) is a director, officer, manager or employee, (iii) owns or controls more than 5% of the outstanding stock of a publicly traded corporation.

#### **Section 2.**

Section 27-6 E. of the Code of the Town of North Castle entitled “Disclosure of interest in legislation and other matters” is hereby amended as follows:

Upon election or appointment and thereafter on an annual basis, the following individuals shall watch a brief Town of North Castle ethics training video, which shall be consistent with the Code of Ethics, and complete a Town of North Castle Disclosure Statement materially in the form attached as Appendix A: elected officials, members of the Planning Board, Zoning Board of Appeals, Architectural Review Board, and Board of Assessment Review.

#### **Section 3.** Conflicting Standards.

Where the requirements of this Local Law impose a different restriction or requirement than imposed by other sections of the Code of the Town of North Castle, the Town Law of the State of New York or other applicable rules or regulations, the requirements of this Local Law shall prevail.

#### **Section 4.** Severability.

The invalidity of any word, section, clause, paragraph, sentence, part or provision of this local law shall not affect the validity of any other part of this local law that can be given effect without such invalid part or parts.

#### **Section 5.** Effective Date.

This Local Law shall take effect immediately upon its adoption and filing with the Secretary of State.

Dated: October 26, 2022

## APPENDIX A

### TOWN OF NORTH CASTLE ETHICS DISCLOSURE STATEMENT for the CALENDAR YEAR \_\_\_\_\_ Due \_\_\_\_\_

*Instructions: Please check the appropriate boxes, complete items 1, 2A & 2B, and sign where indicated below.*

- ☐ I have received, read, understand and agree to abide by the requirements set forth in Chapter 27 (Ethics) of the Code of the Town of North Castle.
  - ☐ Except as identified below, to the best of my knowledge and belief, neither I nor any [Relative](#) has any [Interest](#) (as those terms are defined in Section 27-2 of the North Castle Ethics Code) that may conflict with my official duties or otherwise give rise to a violation of the North Castle Code of Ethics.
  - ☐ I agree to promptly file a [Disclosure – Conflict of Interest Form](#) if any change of circumstances should make any statement herein untrue or incomplete.
1. List the location of all real estate in the Town of North Castle (other than the primary residence of you or your [Relative](#)) in which you or any [Relative](#) directly or indirectly have an [Interest](#), which to the best of your knowledge and belief has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

\_\_\_\_\_  
(Attach separate sheet if necessary.)

2. A. NON PUBLICLY-TRADED ORGANIZATIONS: List any organization (other than a publicly-traded corporation) in which you or a [Relative](#) have a direct or indirect [Interest](#), which to the best of your knowledge and belief has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

\_\_\_\_\_  
(Attach separate sheet if necessary.)

2. B. PUBLICLY-TRADED CORPORATIONS: List any publicly-traded corporation in which you or a [Relative](#) have a direct or indirect [Interest](#) (including owning 5% or more of the outstanding stock or as a director, officer or employee), which to the best of your knowledge and belief has any application, request, interest in any proposal, or claim before a Town of North Castle department, board or committee or any litigation, negotiation or other matter requiring the exercise of discretion to which the Town of North Castle or one or more of its departments, boards or committees is a party. If none, state “none.”

\_\_\_\_\_  
(Attach separate sheet if necessary.)

The term “[Interest](#)” includes any financial or material benefit or thing of value, except for such things which are generally available to all residents or taxpayers, or even a large group of such individuals. Financial or material benefits may be received or provided directly, but they also include such benefits

For organizations other than a publicly traded corporation, an example of a “direct” [Interest](#) is where a town official, employee or volunteer is a partner, member or has another type of ownership interest in that organization or is a director, officer, manager or employee in such an organization. For organizations other than a publicly traded corporation, an example of an “indirect” [Interest](#) is where that town official, employee or volunteer holds that same [Interest](#), but through one or more organizations or through one or more trusts — either as a grantor, beneficiary or trustee.

I certify that the answers given above are true to the best of my knowledge and belief, were made in good faith, and I understand that any false or incomplete statement on this Disclosure Statement would constitute a violation of the North Castle Code of Ethics.

Please return this statement to:

Town Clerk  
Town of North Castle  
15 Bedford Road  
Armonk, NY 10504